SONOMA WEST
ADVISORS

Form CRS Customer Relationship Summary – September 1, 2025
Sonoma West LLC dba Sonoma West Advisors

Sonoma West LLC doing business as Sonoma West Advisors ("Sonoma West") is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker- dealers, investment adviser, and investing.

What investment services and advice can you provide me with?

We offer investment advisory services to retail investors, including total portfolio structuring services that begin with a thorough understanding of each client. Sonoma West provides comprehensive financial advisory services related to investment management, such as cash & liability management, tax & estate strategies, employee stock & option advisory, and private & external investment evaluation. Sonoma West also coordinates with other client service providers to address tax accounting and estate related issues which may impact portfolio construction. We monitor investments daily and provide important data through secure client portals. The role and degree of discretionary authority exercised by Sonoma West is directly controlled by each client. This control stays with each client, who may adjust this policy at any time. We generally require a minimum client portfolio of $5m for new clients.

For additional information, please see [Form ADV, Part 2A firm brochure (Items 4 and 7 of Part 2A)

Conversation Starters:

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

Sonoma West will charge its clients an asset-based fee based on the fair market value of managed investments, including discretionary and non-discretionary assets. Fees are payable quarterly, in advance, and are based on the fair market value of the portfolio at the close of the last business day of the previous quarter. Clients should be aware that other fees may be incurred during the portfolio management process including trading fees, fees related to the ownership of mutual funds, custodial fees, separately managed brokerage account(s) (if used), wire fees (if used), which are in addition to (and unrelated to) fees payable to Sonoma West. Fees will increase with the increase in the portfolio value of the investor, and the firm may have an incentive to encourage the investor to increase the assets held in the portfolio.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make on your investments over time. Please be sure you understand what fees and costs you are paying.

For additional information, please see Form ADV, Part 2A firm brochure (Items 5 and 6 of Part 2A)

Conversation Starter:

Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How do you make money and what conflicts of interest do you have?

Sonoma West acts as a fiduciary. We have to act in your best interest and not put our interests ahead of yours. The way we make money could create a conflict with your interests. We will always seek to avoid them. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Sonoma West charges flat fixed fees or hourly fees to a limited number of our clients. For the great majority of our clients, a management fee based on assets under management is charged. We derive no other income from any other means. Sonoma West sells no products, does not engage in any revenue sharing programs or soft dollar arrangements with outside custodians or broker dealers. Sonoma West's goal is to earn an appropriate risk adjusted positive return on each investor's portfolio. There is an inherent conflict of interest in that as the investor's portfolio value increases, the fees paid to Sonoma West will also increase. We believe this conflict is minimized by periodic portfolio reviews, full and transparent disclosure, ongoing communication, and helping clients to achieve their short & long term financial goals.

For additional information, please see [Form ADV, Part 2A firm brochure]

Conversation Starter:

How might your conflicts of interest affect me, and how will you address them?

Do you have any legal or disciplinary history?

No.

Visit https://www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starter:

As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about our services, If you would like additional, up-to-date information or a copy of this disclosure, please call 415-497-7533 or info@sonomawestadvisors.com . *See our Form ADV firm brochure and brochure supplement on* https://www.investor.gov/CRS

Conversation Starter: Ask your financial professional—

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?